*Adding Flexibility to Linux’s Out-of-Memory Killer via Graceful Shutdown Processes*

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**ABSTRACT**

Embedded systems manifest themselves in a wide variety of forms, and many embedded systems have a limited amount of memory available to them. The Out-of-Memory (OOM) killer is a kernel process that aims to free memory when a system is over-allocated by terminating user processes. The current heuristic used by Linux’s OOM killer is not suited for many possible use cases where embedded systems are deployed, including data-critical and safety-critical applications. The current algorithm may result in systems either becoming unresponsive or critical processes being killed when the system is over-subscribed. While a user can set a process to be “unkillable” by the OOM Killer, this may result in the system perpetually remaining unresponsive if an “unkillable” process is causing the memory pressure. Here we propose an alternative OOM killer implementation that can execute another user specified process to “gracefully shutdown” the process being killed.

**I. INTRODUCTION**

One of the greatest limitations in embedded systems is system memory [5]. This necessitates that memory is appropriately managed, and out of memory conditions (OOM) are immediately addressed. The last line of defense in memory management by the Linux kernel is the OOM killer, a kernel process which seeks to identify the process causing the OOM condition and terminate it in order to preserve kernel function. Shortcomings of the current OOM killer can cause the Linux kernel to remain in the OOM condition and become non-responsive [1].

The OOM killer used by the Linux kernel for memory management assigns a score to each user space process. Scores are influenced by several factors including the proportion of RAM used, page table, swap space use, and the user set OOM adjusted score variable [4]. Processes with a higher score are more likely to be terminated when the system requires more memory. Linux provides a variable, called the OOM adjusted score, that can be adjusted to make a process less likely to be killed. If a user assigns a large enough negative value to the OOM adjusted score, the process will be “unkillable” by the OOM killer.

This behavior may not always be desired. There are many situations in which an abrupt termination of a process is unacceptable but a controlled shutdown may be acceptable. This is the case for data-critical and safety-critical systems where failures can have “significant and far-reaching consequences” [5]. For example, If a programmer expects data loss to occur if a specific process is killed by the OOM killer mid execution, they may set the process to be unkillable. However, this process might be perfectly acceptable to kill if the data is transmitted to a remote server or written to a disk first. In the case of safety critical processes, it may be vital to neither kill a critical process, nor end up in an unresponsive state. In these cases, our graceful failure option could allow the system to notify the user and shutdown the entire system in a safe manner.

**II. RELATED WORK**

Limits on memory available have caused problems for programmers since the dawn of the computer era. Most previous efforts to alleviate problems caused by running out of memory have focused on increasing the memory available or decreasing the memory utilization. The linux OOM killer takes the second approach, and kills processes until the memory is no longer overcommitted [3]. Killing arbitrary processes until enough memory is regained is obviously not the optimal approach for many safety-critical or data-critical implementations.

In cloud computing, previous works have devised systems to add memory on demand or move processes to remote nodes, reducing the memory pressure on the current node. VM migration allows the migration of a running VM to a different physical machine with more memory [11]. Nswap and other networked memory technologies allow the memory space to be extended across a network to remote nodes, enabling a single node to push memory pages to remote machines and avoid calls to the OOM killer [13].

However, VM migration and networked memory simply are not options for many embedded systems. Few embedded systems are connected to a network of other physical machines that they can utilize and latency critical applications may not tolerate the network latency caused by moving to a different physical node connected via a network.

Other works in cloud computing have focused on seamlessly adding disk swap space to nodes that are overcommitted. CUDSwap allows a node to add more swap space (on dsk) to a node with overcommitted memory, avoiding calls to the OOM killer [12]. While CUDSwap may be suitable for an embedded system with connection to a large, fast solid state drive, it would not be suitable for a system with a small or particularly slow hard drive (or no disk drive at all). CUDSwap also generally suffers a performance hit compared to using memory alone, so it may be unsuitable for latency critical applications [12].

On the Android OS, Kim et al developed a procedure for killing processes not based on their OOM score, but rather based on the likelihood a user would want to utilize the process [14]. This system collects data about the user usage of different processes and kills the process with the least usage time [14]. However, this procedure may have deleterious effects if applied to embedded systems. Embedded systems tend to have their hardware relatively well tuned to the common level of resource utilization for the system, and are less likely to experience spikes in memory demand when compared to a mobile phone. In the embedded domain, memory pressure due to a programmer’s mistakes, or a fundamental mismatch of hardware and load are more common. One could imagine a situation in which a memory leak occurred on a relatively frequently used process, and if fed through the algorithm proposed by Kim et al, would result in all of the less frequently used processes being killed before reaching the process causing the memory pressure.

In the realm of embedded systems, work has been done to decrease the time spent choosing which process to kill when out of memory but we are unaware of any attempts to add graceful failure procedures to the OOM killer [2].

**III. UNGRACEFUL HANDLING OF OUT OF MEMORY CONDITIONS**

Limited memory in embedded systems and the additional demands of data-critical and safety-critical systems necessitates that memory is appropriately managed, and OOM conditions immediately addressed. The risks of mishandling these memory errors is twofold – data can be lost, and the system can be left in an unsafe or unsatisfactory state as a result. The standard linux implementations for handling these errors do not effectively address these risks in the data-critical and safety-critical systems.

*How Out of Memory Errors Occur*

There are several ways in which an out-of-memory, or OOM, condition can occur. Most commonly OOM conditions are caused by overcommits, dynamic memory allocations, and inability to use swap space [6].

Linux will allow, by default, a process to allocate more memory than is physically available, as most processes allocate far more than they will ever use, referred to as overcommitting [7]. If processes cache in on their full memory allocations simultaneously an OOM condition will occur. This can be somewhat mitigated by reducing the size of requested memory allocations by programs (common in embedded) and by adjusting the overcommit ratio to reduce the amount of overcommitting the system will permit in /proc/sys/vm/overcommit\_ratio [7].

Dynamic memory allocations can be unpredictable, and are typically discouraged in real-time (RT) embedded systems. At compile time, the pathway a particular program will follow in a runtime exception handling is not defined. If the amount of memory allocated in handling runtime exceptions exceeds the limited heap memory available, an OOM condition can result. In real-time systems dynamic memory use is often discouraged or not used at all [8].

Swap space is used as an extension of physical memory, or RAM. A process’s memory can be written out to swap space in order to free up more physical memory temporarily. If the writing of memory requires additional allocations, such as for I/O, that is not available the system may deadlock, unable to invoke the write process that would free up physical memory, causing an OOM condition [6]. Additionally if a process requires writing larger pages of memory than the swap space would permit, the system is unable to utilize it and will fall into an OOM condition when enough physical memory is unavailable [6]

*Default System Handling of Out of Memory Errors*

When proactive mitigations fail to prevent an OOM condition, Linux invokes the OOM killer to free up memory on the system. This kernel process uses a heuristic to assign a score to all running user processes that is a function of the proportion of physical memory allocation, use of swap space, and use of the page table [3]. Scores range from 0 to 1000 for each process, where 0 is least likely to be killed and 1000 is most likely to be killed. Kernel processes are marked with a score of -1000 which essentially makes the process as unkillable [5]. Users can override the scores for a specific process by adjusting the value in /proc/<PID>/oom\_score\_adj.

The intent is to select the process that is the most active in the memory with the assumption that is the source of the out of memory condition. This is often, but not always true, and can result in multiple processes being killed before the out of memory condition is finally resolved. Further, this behavior does not guarantee to leave the system in any particular state, but it does aim to leave the kernel operational [7]. Depending on the constraints of the application, this would be considered device failure.

*Memory Error Induced Data Loss*

Data critical applications demand that the data being generated is successfully written to disk. If a process responsible for writing this data is hosting it in an in-process buffer and encounters an OOM condition any data in a buffer or cache for that process that has not yet been written to the disk will be lost if the process is killed [9]. If this data loss is unacceptable the system is considered to have failed.

*Memory Error Induced System Failure*

If a process is killed in a safety-critical system there are three options in responding to unrectified system faults – the system is fault tolerant and can continue operation, the system can continue operation with reduced service, or the system can be placed in a fail-safe state [7]. The default OOM killer behavior will result in a process being killed off without regard to desired system operation, which can leave the device in a sub-operational, and therefore failed state.

*Risk Assessment of Out Of Memory Errors*

Given the available options to mitigate OOM conditions in embedded Linux operating systems, when used in combination with careful and thorough software development the occurrence of OOM errors can be mitigated. The acceptance level for the frequency of these types of errors will vary from application to application. In data-critical systems or safety critical systems these acceptance levels will generally be extremely low. When these errors do occur they need to be handled in a predictable way that leaves the device and the user(s) in a safe state.

For example, the threshold for acceptable loss of data from a military submarine radar is extremely low; loss of any data is extremely undesirable and can compromise the success of the mission and well-being of the sailors on-board [7]. In an airbag deployment system, the embedded device becoming unresponsive unknown to the user could result in catastrophic results if it failed to deploy when needed [7]. A suggested acceptable rate of occurrence for various applications is listed in Figure 1 below.

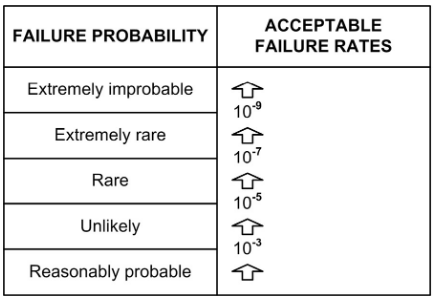


Figure1:

Failure probability and associated failure rates, borrowed from Jim Cooling's book Software Engineering for Real Time Systems [7].

With a demand for such low occurrence rates for OOM errors in real time and near real-time systems, and a requirement to appropriately handle the errors when they do occur, a need exists for improved handling of OOM errors in embedded data critical and safety critical applications and systems.

**IV. IMPLEMENTATION OF GRACEFUL SHUTDOWN**

There are three main components of our implementation: A cgroup to reserve memory for the graceful shutdown procedures, a Loadable Kernel Module(LKM) that creates a new entry in the proc file system, and modifications of the OOM Killer itself inside the kernel.

*Proc File System Entry*

An entry in the proc file system was created to track which processes have a graceful shutdown procedure associated with them. Each valid line in the virtual file contains the process ID (PID) of a process marked for graceful shutdown along with the path to the graceful shutdown executable. This information is used by the oom killer to determine if the process it will kill has a graceful shutdown procedure.

This entry was created using an LKM. The entry lives at the top level of the proc file system, and tracks all graceful shutdown designations for the entire system. This virtual file was created at */proc/graceful\_shutdown.*  Since the proc file system entry was created with an LKM, no modifications were needed to the linux kernel’s process management structures. The module for the proc file system is installed after the kernel is compiled.

*OOM Killer Kernel Modifications*

Within the *mm/oom\_kill.c* file of the Linux kernel modifications were made to support graceful shutdowns. First, a function was added that can retrieve the path of a graceful shutdown executable if a process has one. This function reads the graceful shutdown proc file system entry, and if a process has a graceful shutdown procedure, the function returns the path. If the process does not have a graceful shutdown, NULL is returned.

After the OOM killer has selected a process, the OOM killer uses the aforementioned file /proc/graceful\_shutdown to determine if the process being killed has a graceful shutdown procedure associated. If the process does not, the OOM killer continues as per usual. If the process does have a grateful shutdown procedure, then the OOM killer invokes that executable in user space and waits for it to finish. Once the graceful shutdown procedure completes, the OOM killer continues as normal and kills the process selected.

*Memory Constrained CGroup*

A cgroup was added to limit the amount of memory standard non-graceful shutdown processes can use. This is critical because graceful shutdown processes execute in user space. If too little memory is available, the graceful shutdown procedure may be killed by the OOM killer just like any other user space process. A user should tune the amount of memory reserved for graceful shutdown processes to their needs.

*Source Code*

Scripts to automate the deployment of the above modifications can be found in the project’s git repository.

**V. USAGE AND DESIGN DISCUSSION**

To utilize a graceful shutdown, a user should execute the following steps. First, the user should create a graceful shutdown executable. We provide no access to the original process’ memory from the graceful shutdown process, so the user should use shared memory, message queues, pipes, or



Figure 2:

A process diagram for the graceful shutdown implementation.

another form of IPC if they wish to transfer data from the process being killed to the graceful shutdown process. The graceful shutdown executable should not use a large amount of memory or have long latency to completion. Because the OOM killer waits until the graceful shutdown process completes, the longer the graceful shutdown process, the longer the entire system will remain in the OOM state. Particular care should be taken to avoid infinite loops as these will essentially crash the entire system.

Next the user should run a process and add the PID of the process along with the graceful shutdown executable’s path to the */proc/graceful\_shutdown* file. A script is provided to automate this process in the repository. Once the PID and graceful shutdown path is added to the */proc/graceful\_shutdown* file, the graceful shutdown process will be run if the process with that PID is killed by the OOM killer.

The kernel has only been modified to check for graceful shutdown procedures during an OOM condition, so no additional latency should occur during normal execution. The only performance degradation (under normal execution) of using graceful shutdown processes is that memory must be reserved to run the graceful shutdown processes in. The user should determine how much memory their graceful shutdown process with the largest memory footprint uses, and configure the cgroup accordingly. The OOM killer only attempts to kill one process at a time, so it is not necessary to reserve enough memory to run multiple graceful shutdown processes at once.

A user may wish programs with graceful shutdowns to be more likely for the OOM killer to choose to kill in an OOM condition. As usual, a programmer may manipulate how likely a program is to be killed by the adjusted OOM score available in the proc file system. We did not modify any part of the OOM killer’s algorithm for choosing processes. We choose not to make graceful shutdown marked processes easier or harder to kill because a user could reasonably want either feature. It’s possible that a program with a graceful shutdown could be easily recoverable (because of the graceful shutdown). In this case it might be better to kill this process instead of a non-graceful shutdown process. But, a user also might give their most important processes graceful shutdown procedures to warn users about catastrophic failure. In this case a user would not want their critical graceful shutdown process to be easier for the OOM killer to kill.

**VI. TESTING AND EVALUATION**

In order to validate the modified mechanism for the OOM Killer, out of memory conditions were induced artificially via an executable requesting large portions of memory through the *malloc()* function.

Once the program inducing the out of memory condition has been run, it returns it’s PID. Next, a user specifies the executable to be used as the graceful shutdown process for the aforementioned PID. Subsequently, in keeping with the adaptability characteristics of the modified OOM Killer, test cases involved various shutdown processes. Five simple executables were developed to serve as test apps. These five apps perform the following functionalities:

1. Do nothing, just return.
2. Write a hardcoded constant message to a file.
3. Read a message line-by-line from one file and write it to another different file.
4. Ping a web server.
5. Read data from a shared memory segment and write that data to a file.

These five tests were each run 10 times. No outright failures were observed. Infrequently, the shell would not buffer output correctly and would need to be restarted before running anything else. However, even when these errors were encountered, the graceful shutdown process was run correctly, and the memory freed.

Timing statistics were gathered by starting a time directly before the call to invoke the graceful shutdown process and stopping it directly after the graceful shutdown completes. These timings were printed to the kernel message buffer and recorded by accessing that buffer using *dmesg* later. Each test program was also executed as a standard user space process 10 times to find a normal baseline execution time.

The results are shown below in figures 3 and 4. The average time did increase when the programs were run as a graceful shutdown process instead of a normal process, but with the exception of the Ping Webserved test, none showed an order of magnitude increase. All of the test apps were within 2x execution time of their original.

For the ‘Ping Webserver’ test executed in the graceful shutdown, while the average execution time is 3-4x as large as when executed as standalone, there is a very large standard deviation associated with the graceful shutdown runs. The added complexity of connecting to the server is likely the source of the discrepancy in this case, and could be due to any number of causes including network disruption or network bottlenecks.

|  |  |  |
| --- | --- | --- |
| **Test App** | **Average Time (s)** | **Std Deviation (s)** |
| Tiny Program (just returns) | 0.0029 | 0.0018 |
| Write to File | 0.0033 | 0.0009 |
| Read From File, Then Write | 0.0028 | 0.0010 |
| Ping Webserver | 1.0917 | 0.5074 |
| Read From Shared Mem, Write to File | 0.0028 | 0.0006 |

Figure 3:

Latency of Graceful Shutdown Programs Ran During OOM Condition (invoked from OOM KIller)

|  |  |  |
| --- | --- | --- |
| **Test App** | **Average Time (s)** | **Std Deviation (s)** |
| Tiny Program (just returns) | 0.0006 | 0.0007 |
| Write to File | 0.0013 | 0.0003 |
| Read From File, Then Write | 0.0011 | 0.0003 |
| Ping Webserver | 0.0058 | 0.0016 |
| Read From Shared Mem, Write to File | 0.0028 | 0.0011 |

Figure 4:

Latency of Graceful Shutdown Programs Ran As Normal User Space Programs (Not During OOM Condition, Invoked From User Space)

**VII. CONCLUSION**

As demonstrated above, a graceful shutdown mechanism is possible with minimal performance implications. A number of possible usages of a graceful shutdown were tested under artificial OOM conditions and no major failures were observed. No performance implications are expected under normal (non-OOM) operation. The latency experienced when running a graceful shutdown in the OOM killer is only slightly more than the latency of running the same program normally. The addition of a graceful shutdown adds a powerful tool for programmers to avoid undesirable consequences of a process being killed by the OOM killer. While this is a powerful tool, programmers must be aware of the danger of long-running or endless graceful shutdown programs as these will result in long zOOM conditions. Additionally, the system should be properly configured to avoid reserving more memory than necessary. As with many tools, the graceful shutdown system could help developers build more reliable systems, but must be properly used.

**Repository**

https://github.com/siegfrkn/csci5573-project

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